

NATIONAL INVESTMENT TRUST LIMITED

Customer Due Diligence (CDD) / Know Your Customer (KYC) & Registration Form
For Corporate

NOTES TO THE INVESTOR:

Risk Disclaimer: Prices of units of the funds, and income from them may go up or down. In Fixed Periodic Payment Plan, the principal amount may be reduced in case sufficient returns are not earned by the Fund to cover the amount required by the Unit Holder. Therefore, the resulting payment may lead to erosion of principal. Investors are advised in their own interest to carefully read the contents of the Offering Document and Trust Deed of the respective funds, in particular the Investment Policy, Risk Disclosure, Disclaimers and Warnings before making any investment decision. Product Information: Read the offering document and product information carefully and consult your Investment Advisor before making investment decisions. Account Opening: Please note that as required by the SECP, NIT reserves the right to refuse to open or terminate any Account at its discretion for reasons including unsatisfactory completion of CDD / KYC measures. CDD / KYC information is sought in compliance with Rules & Regulations governing NBFC's and NE's, which shall be applicable as amended from time to time.

INVESTMENT DETAILS

Risk Profile Category	Fund Names & Codes	Sales Load	Risk of Principal Erosion
EQUITY SCHEMES	• National Investment Unit Trust - NI(U)T	3%	High
MONEY MARKET SCHEME	• NIT Money Market Fund (NIT-MMF)	Nil	Low
ASSET ALLOCATION SCHEME	• NIT Asset Allocation Fund (NIT-AAF)	0% - 2.5%	High
INCOME SCHEMES	• NIT Government Bond Fund (NIT - GBF)	1%	Medium
	• NIT Income Fund (NIT - IF)	1%	Medium
	• NIT Social Impact Fund (NIT - SIF)	0% - 2%	Medium
SHARIAH COMPLIANT (ISLAMIC) EQUITY SCHEME	• NIT Islamic Equity Fund (NIT - IEF)	0% - 3%	High
SHARIAH COMPLIANT (ISLAMIC) MONEY MARKET SCHEME	• NIT Islamic Money Market Fund (NIT-IMMF)	0% - 1.0%	Low
SHARIAH COMPLIANT (ISLAMIC) INCOME SCHEME	• NIT Islamic Income Fund (NIT - IIF)	Nil	Medium

SELECT INVESTMENT OPTION(S):

OPTION(S) : Tick (✓) whichever is applicable

EQUITY SCHEME	SHARIAH COMPLIANT EQUITY SCHEME	INCOME SCHEMES		SECTOR SPECIFIC INCOME SCHEME
<input type="checkbox"/> NI(U)T	<input type="checkbox"/> NIT-IEF	<input type="checkbox"/> NIT-GBF	<input type="checkbox"/> NIT-IF	<input type="checkbox"/> NIT-SIF
MONEY MARKET SCHEME	SHARIAH COMPLIANT INCOME SCHEME	ASSET ALLOCATION SCHEME	SHARIAH COMPLIANT MONEY MARKET SCHEME	
<input type="checkbox"/> NIT-MMF	<input type="checkbox"/> NIT-IIF	<input type="checkbox"/> NIT-AAF	<input type="checkbox"/> NIT-IMMF	

Dividend payout will be reinvested in case of daily, Weekly & fortnightly payout for income units

DECLARATION:

I/we hereby confirm that I/we have read and understood the instructions mentioned herein which apply to National Investment Trust Limited (NIT) and its funds National Investment (Unit) Trust Fund, NIT Government Bond Fund, NIT Income Fund, NIT Social Impact Fund, NIT Islamic Equity Fund, NIT Islamic Money Market Fund, NIT Asset Allocation Fund, NIT Money Market Fund, NIT Islamic Income Fund and any other fund(s) offered by NIT from time to time, for compliance of Customer Due Diligence (CDD) and Know Your Client (KYC) procedures for transaction in Funds' units issued by NIT. I/we agree to abide by the terms, conditions, rules, regulations and other statutory requirements applicable to NIT and respective Funds. I/we hereby declare that the particulars given herein are true, correct and complete to the best of my/ our knowledge and belief; the relevant documents submitted along with this application are genuine. I/we hereby undertake to promptly inform NIT of any changes to the information provided herein and agree and accept that NIT is not liable or responsible for any losses, costs, damages arising out of any actions undertaken or activities performed by NIT on the basis of the information provided by me/us and also due to my/our not intimating/delay in intimating such changes. I/we hereby authorize NIT to disclose, share, remit in any form, mode or manner, all/any of the information provided by me/us relating to the respective Funds in which I/we may transact/have transacted including all changes, updates to such information as and when provided by me/us if such required to be submitted under the laws. I/we hereby agree to provide any additional information/Documentation that may be required by the NIT, in connection with this Application Form. I/we further confirm to have read the Trust Deed and Offering Documents of the Fund I/we wish to invest in and I/we hereby bind ourselves and agree to the contents of the same.

AUTHORIZATION:

I/we hereby authorize National Investment Trust Limited to verify any/all of the submitted information related to KYC, CNIC (using NADRA Verisys), IBAN & Mobile Number.

1st Signatory

2nd Signatory

3rd Signatory

4th Signatory

Name and Signature of Authorized Signatories with Company stamp

FOR BRANCH USE ONLY

DATE (DD / MM / YY): ____ / ____ / ____

TIME: ____ : ____ AM / PM

Branch / Distributor Name:

Registration ID (System Generated):

Account No(s):

Form reviewed and checked by:

Data entered by:

Branch Stamp & Signature of the Branch Manager / Authorized Official:

FOR UHRS RECORD SECTION USE

CNIC(s) Verification from NADRA: Yes No

Remarks:

DOCUMENTS REQUIRED (CORPORATE):

INFORMATION / DOCUMENTS REQUIRED

AT THE TIME OF ACCOUNT OPENING AND INVESTMENT:

General (Applicable to all Institutions):

- List and authorized of signatories.
- Copy of CNIC of all signatories.
- Board Resolution (Opening of account / Investment).
- Copy of latest Financials / Audited Accounts.
- W8 BEN-E.
- Certified Copy of Form A/B & Form 29 (Wherever applicable).
- Verify beneficial owner by identifying the natural person(s) who ultimately has a controlling ownership interest of 25% or more in the company. Where no natural person exerts control through ownership interest, identity of senior management officials can be obtained on our prescribed format.

Trust, Club, Societies and Association etc:

- Certified copy of certificate of registration.
- Certified copy of Trust Deed / bylaws / rules & regulations.
- Resolution of the Governing Body / Board of Trustees / Executive Committee, if it is ultimate Governing body, for opening of account authorizing the person(s) to operate the account.
- Identity of the settlor, the trustees, the protector, the beneficiaries and any other natural person exercising ultimate effective control over the trust.
- Trustee/ Governing body Resolution (investment & list of authorized signatories).
- Copy of CNICs of the person(s) as identified above.
- Copy of latest financials of Trust / Society / Association.
- List of Trustees and valid copies of their CNICs.
- List of Governing Body Members and valid copies of their CNICs.

Joint Stock Companies:

- Name of Company and its Directors.
- Registered / Business Address.
- Telephone No.
- Latest Audited Accounts of the company.
- Board Resolution (Opening of account / Investment & list of authorized signatories with their CNICs).
- Copies of valid CNICs of all Directors.
- Copies of Memorandum and Articles of Association.
- Certificate of incorporation.
- Certificate of Commencement of business wherever applicable.

Executors and Administrators:

- Copy of valid CNICs of Executors / Administrators. Certified copy of Letter of Administration.

ADDITIONAL INFORMATION

Tax Exemption:

- Copy of Valid Tax Exemption Certificate required under Section 150 of the Income Tax Ordinance, 2001 every year.
- Any other valid documentation required under law to avail exemption.

Partnership:

- Name of partnership and partners.
- Father's/Husband's Name of partners.
- Registered / Business Address.
- Telephone / Cell No(s).
- Copies of valid CNIC of all the partners.
- Copy of latest financials of partnership.
- Attested copy of Partnership deed.
- Attested copy of Registration Certificate with Registrar of Firms.
- Authority letter from all partners, in original, authorizing the person(s) to operate firm's account.

Government Accounts:

- Special resolution / authority from concerned administrative department duly endorsed by the Ministry of Finance or Finance Department of the concerned Government.
- Copies of CNIC of all officers of the Federal / Provincial / Local Government that are duly authorized to operate such Accounts in their official capacity.

Employees Benefits Funds

- List of Signatories & Valid Copies of their CNICs.
- Beneficial Owners as per our prescribed format.
- Attested copy of Trust Deed.
- Latest audited account of the fund.
- Recognition / approval of the fund from FBR.
- List of Trustees and copies of their CNICs.
- Resolution of the trust deed to open account with NIT.

NGOs / NPOs / Charities:

- Certified copy of certificate of registration.
- Certified copy of Trust Deed / bylaws / rules & regulations.
- Resolution of the Governing Body / Board of Trustees / Executive Committee, if it is ultimate Governing body, for opening of account authorizing the person(s) to operate the account.
- Identity of the natural person exercising ultimate effective control over the foundation.
- Trustee / Governing body Resolution (investment & list of authorized signatories).
- Copy of CNICs of the person(s) as identified above.
- Registered address / Business address where applicable.
- Any other documents as deemed necessary including its annual accounts / financial statements or disclosure in any form which may help to ascertain the detail of its activities, source and usage of funds in order to assess the risk profile of the prospective customer.
- List of Trustees and valid copy of their CNICs.
- List of Governing Body Members and valid copy of their CNICs.

Zakat Exemption:

- Copy of Valid Zakat Exemption Certificate / Documents, every year.
- Valid Approval / Recognition Certificate, in case of Employees' Funds, Pension Funds, Provident Funds, Superannuation funds and Gratuity Funds.